

1 ENVIRONMENTAL MANAGEMENT SYSTEMS

Uniper UK has a long established environmental management system (EMS) which is accredited to ISO 14001:2015. Uniper's operational sites within the UK are accredited under a shared certificate and meet the requirements of the standard by working to central management instructions as well as site specific / local management instructions. The central management instructions ensure that there is consistency in the implementation of the environmental management system across all Uniper UK sites.

The shared systems require sites to follow the high level management instructions but also to have in place local management instructions that are particular to their plant, equipment and operation. This ensures that the right actions are taken at the right time by the right people.

The Installation will be included within the company's current ISO 14001 Environmental Management System. The plant will be designed with an EMS in mind, and a fully integrated EMS will be implemented into all aspects of plant operation. The key aspects of EMS will include that outlined below. Further detail is provided in Section 2.10 of the Supporting Information submitted with the Environmental Permit.

Section	Detail
Scope of EMS	The Scope will include all aspects of the site operation, including receiving waste, material storage, incineration, water treatment and discharge.
Uniper HSSE&S Policy Statement	Copy will be provided
Planning: Aspects and Impacts / Risk Assessment	Uniper will identify the aspects and impacts relevant to the site, these will be risk-assessed and mitigation measures identified to reduce the impact of the site on the environment. They will be based upon normal, abnormal and emergency operation. They will include location of the site and any impacts on nearby sensitive receptors.
Risk and Opportunities	The risks and opportunities will be identified in a register; this will highlight any risks to the site as well as opportunities, e.g. Climate Adaptation and resilience or CHP opportunities. If these risks/opportunities are of high enough significance, plans will be put in place to mitigate or implement them.
Legal and Other Compliance	This will hold a register of all applicable Environmental Legislation and how this is complied with. This will be amended as legislation is published and will be subject to regular review.
Objectives and Targets	Objectives and targets based upon significant aspects, significant risks/opportunities and the outcome of audits and incidents will be set. Individuals will be given responsibility to achieve them.
Roles, Resources and Responsibilities	This will set out who is responsible for what activity and will be linked to detailed procedures under operational control.
Competence, Awareness & Training	Using the established competency and training systems, the key competency requirements will be set out for the operation of the EFW and the training individuals require. This will be subject to annual review or as operations change. Training will include operation of the incinerator, emissions monitoring, and what to do in an emergency.
Communication, Complaints & Requests for Information	The process for receiving and responding to complaints, communicating with the EA. The procedure will detail who does what and when.

Operational Control	<p>This is the area that sets the key operational controls for day to day operation of the EFW and will include:</p> <ul style="list-style-type: none"> Odour/noise management Site plans, including storage areas. Waste reception and storage, dealing with out of spec waste. Abatement controls and associated procedures Raw material storage Emissions Monitoring, MCERTS and EN14181 Compliance Maintenance systems
Emergency Planning	<p>Fire prevention plans, including site plans and information for emergency services. Emergency and Business Continuity plans.</p>
Monitoring & Measurement, Audits, Non-conformity, Corrective & Preventative Action	<p>This section includes audit plans, for all the activities; this plan will be based upon risk. All actions arising from incidents, accidents and audits will be recorded. The corrective/preventative action that needs to be implemented to stop reoccurrence will also be recorded. Tracking the implementation of these actions will be through Management Review, and monitoring audit actions as part of the key performance indicators for the EMS.</p>
Document Control	<p>This section will identify what are the key documents needed for permit compliance and other key documents relevant to the operation of the site. The procedure will include the process for keeping documents, including period of retention, document issue to ensure only the most up to date procedures are used and location of the documents. Uniper has an electronic system that is available to all relevant employees and contractors.</p>
Management Review	<p>The EMS will be subject to review to assess if it is performing effectively and if additional resources need to be provided or further actions taken. This management review process will be in several parts; the first review takes place at a site level with all Senior key personnel that have control over operations. There would be a further across fleet Management Review that would include Directors from across the business.</p>

HSSE & Sustainability Policy Statement

At Uniper, we combine a balanced portfolio of technologically advanced large-scale assets with outstanding technical and commercial expertise to offer a broad range of energy services.

It is the duty of all employees, leaders and Board Members to adhere to the principles of the policy and to consciously play their part in achieving its aims.

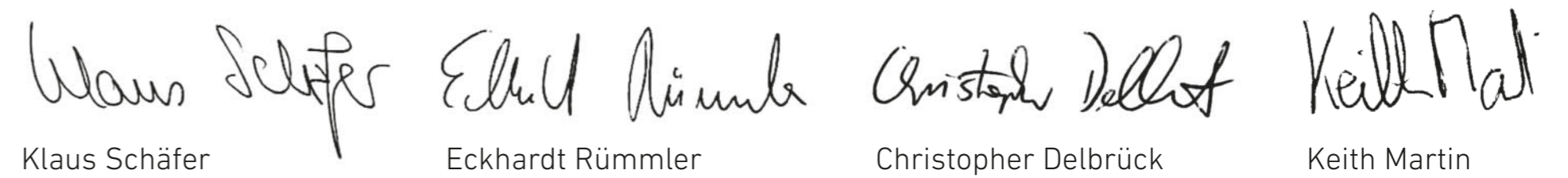
At Uniper, we care about people and the environment, so:

- We only work safely.
- We look after people's health.
- We protect our people and our assets.
- We act with responsibility to reduce our environmental impact.

We will

- Identify, control and mitigate risks to ensure the safety, health, security and wellbeing of our people, to minimise the impact on communities affected by our operations, to prevent pollution and resource inefficiency, prevent loss from physical security threats and to achieve high standards of corporate governance.
- Provide financial and personnel resources and information to fulfil our HSSE (Health, Safety, Security and Environment) and Sustainability commitments and obligations.
- Provide resources to prevent and minimise the impact from potential crisis events on our business, the brand "Uniper", people and the environment.
- Actively involve our people in HSSE and Sustainability matters, promoting diversity and avoiding any form of discrimination.
- Work with our contractors and our suppliers to adopt a life cycle approach to ensure we all work safely, in a socially responsible manner, protect the environment and use resources efficiently. This includes supporting the purchase of energy-efficient products and services where economically appropriate and design for energy performance improvement.
- Actively engage in dialogue with our stakeholders on a local, national and international level to seek advice and listen to their views about our activities and the challenges our industry faces.
- Consider the needs of present and future generations by supporting the principles of the United Nations Global Compact and other relevant internationally recognised standards regarding human rights, environmental protection and good governance along our supply chain.
- Report our achievements openly and reliably and in line with the Global Reporting initiative's standards where appropriate.
- Provide a framework for setting and reviewing objectives and targets, as part of an integrated management process.
- Continually drive improvement and innovation in our HSSE and Sustainability performance.

Uniper Board of Management


Klaus Schäfer Eckhardt Rümmler Christopher Delbrück Keith Martin

03. November 2017

This Policy Statement will be reviewed at least every 3 years by the Uniper Board (unless there is a change that requires a review). Details of the organisation and arrangements for executing this Policy Statement are included in our Management Systems.

The Uniper Group Works Council welcomes this HSSE and Sustainability Policy Statement and underlines its importance:


Oliver Biniak


Harald Seegatz



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